

SUPPLIER SIZE CERTIFICATION

(02/01/2023) Page 1 of 3

NORTHROP GRUMMAN
 PROPRIETARY LEVEL I
 (WHEN COMPLETED)



APPENDIX A

| TERM / CATEGORY | DESCRIPTION / DEFINITION |
|---|---|
| ABILITYONE PARTICIPATING NON-PROFIT AGENCY | Nonprofit agency serving people who are blind or "nonprofit agency serving people with other severe disabilities" (referred to jointly as AbilityOne participating nonprofit agencies) means a qualified nonprofit agency employing people who are blind or have other severe disabilities approved by the Committee to furnish a commodity or a service to the Government under 41 U.S.C. chapter 85. |
| AFFILIATES / AFFILIATION | Business concerns, one of whom directly or indirectly controls or has the power to control the others, or a third party or parties control or have the power to control the others. The SBA determines affiliation in accordance with 13 CFR 121.103 (https://www.ecfr.gov/current/title-13/chapter-I/part-121#p-121.103(a)). Affiliation with another business is based on the power to control, whether exercised or not. The power to control exists when an external party has 50% or more ownership. It may also exist with considerably less than 50 percent ownership by contractual arrangement or when one or more parties own a large share compared to other parties. Refer to the SBA's compliance guide for size and affiliation for more detailed information: https://www.sba.gov/document/support--affiliation-guide-size-standards |
| ALASKA NATIVE CORPORATION (ANC) | Any Regional Corporation, Village Corporation, Urban Corporation, or Group Corporation organized under the laws of the State of Alaska in accordance with the Alaska Native Claims Settlement Act, as amended (43 U.S.C. 1601, et seq.). Alaska Native, as defined by the Alaska Native Claims Settlement Act (43 U.S.C. 1602), means a citizen of the United States who is a person of one-fourth degree or more Alaskan Indian (including Tsimshian Indians not enrolled in the Metlaktla Indian Community), Eskimo, or Aleut blood, or a combination of those bloodlines. The term includes, in the absence of proof of a minimum blood quantum, any citizen whom a Native village or Native group regards as an Alaska Native if their father or mother is regarded as an Alaska Native. |
| CAGE CODE | Commercial and Government Entity (CAGE) code is a five-character alpha-numeric, unique identifier assigned by the Defense Logistics Agency (DLA) to businesses, organizations, etc. that wish to pursue contracts or grants from the United States federal government. |
| CONCERN | Any business entity organized for profit (even if its ownership is in the hands of a nonprofit entity) with a place of business located in the United States or its outlying areas and that makes a significant contribution to the U.S. economy through payment of taxes and/or use of American products, material and/or labor, etc. "Concern" includes but is not limited to an individual, partnership, corporation, joint venture, association, or cooperative. For more information, see 13 CFR 121.105. |
| DISABILITY OWNED | A for-profit business that is at least 51% owned, managed, and controlled by a person with a disability regardless of the business employing person(s) with a disability. |
| ECONOMICALLY DISADVANTAGED WOMEN-OWNED SMALL BUSINESS (EDWOSB) | A small business concern that is at least 51 percent directly and unconditionally owned by, and the management and daily business operations of which are controlled by, one or more women who are citizens of the United States and who are economically disadvantaged in accordance with 13 CFR part 127. Automatically qualifies as a women-owned small business eligible under the WOSB Program. |
| FOREIGN BUSINESS CONCERN | A business concern organized under the law of a country other than the United States, its territories or possessions and is not incorporated in the United States. |
| HUBZONE (SBA CERTIFIED) | HUBZone means a historically underutilized business zone that is an area located within one or more qualified census tracts, qualified nonmetropolitan counties, lands within the external boundaries of an Indian reservation, qualified base closure areas, or redesignated areas, as defined in 13 CFR 126.103. A HUBZone small business concern must be certified by the Small Business Administration and appear on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration. Supplier's status as a HUBZone small businesses will be verified by accessing SAM.gov and/or the SBA Dynamic Small Business Search (DSBS) A joint venture qualifies as a HUBZone small business concern if it complies with the requirements in 13 CFR 126.616(a) through (c). |
| HISTORICALLY BLACK COLLEGE OR UNIVERSITY (HBCU) | An institution that has been determined by the Secretary of Education to meet the requirements of 34 CFR 608.2. |
| INDIAN TRIBE (TRIBALLY OWNED) | Any Indian tribe, band, group, pueblo, or community, including native villages and native groups (including corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 et seq.), that is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs in accordance with 25 U.S.C. 1452(c). This definition also includes Indian-owned economic enterprises that meet the requirements of 25 U.S.C. 1452(e) and Indian Organizations (the governing body of any Indian tribe or entity established or recognized by the governing body of an Indian tribe for the purposes of 25 U.S.C. Chapter 17.) |
| LARGE BUSINESS CONCERN | A business concern that exceeds the SBA size standard for the NAICS code assigned to the subcontract/purchase order. |
| LESBIAN, GAY, BISEXUAL, TRANSGENDER, QUESTIONING (LGBTQ+) OWNED BUSINESS | A business that is at least 51 percent owned, operated, managed and controlled by an LGBTQ+ person or persons who are either U.S. citizens or lawful permanent residents. The LGBTQ+ business concern must exercise independence from any non-LGBTQ+ business enterprise, have its principal place of business (headquarters) in the United States and have been formed as a legal entity in the United States. |
| MINORITY OWNED BUSINESS | An organization that is at least 51 percent unconditionally owned by one or more individuals who are considered to be a minority and whose management and daily business operation is controlled by one or more such individuals. The following groups are considered minorities: <ul style="list-style-type: none"> • Native Americans, including American Indians, Eskimos, Aleuts and native Hawaiians. • Asian Pacific Americans, including all persons having origins in Japan, China, Philippines, Vietnam, Korea, Samoa, Guam, the U.S. Trust Territory of the Pacific Islands (Republic of Palau), the Northern Mariana Islands, Laos, Kampuchea (Cambodia), Taiwan, Burma, Thailand, Malaysia, Indonesia, Singapore, Brunei, Republic of the Marshall Islands, or the Federated States of Micronesia. • Asian Indian Americans, including all persons having origins in India, Pakistan, Bangladesh, Sri Lanka, Bhutan or Nepal. • African Americans, including all persons having origin in the Black racial groups of Africa. • Hispanic Americans, including all persons having origins in Mexico, Puerto Rico, Cuba, Central or South America, or other Spanish culture origins. |

SUPPLIER SIZE CERTIFICATION

(02/01/2023) Page 2 of 3

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| MINORITY SERVING (EDUCATIONAL) INSTITUTION (MI) | An institution of higher education meeting the requirements of Section 365(3) of the Higher Education Act of 1965 (20 U.S.C. 1067k), including a Hispanic-serving institution of higher education, as defined in Section 502(a) of the Act (20 U.S.C. 1101a). |
| NAICS CODE | North American Industry Classification System Code. NAICS assigns codes to all economic activity within twenty broad sectors |
| NATIVE HAWAIIAN SMALL BUSINESS CONCERN | A small business concern as defined in Section 3 of the Small Business Act (15 U.S.C. 632) and relevant implementing regulations that is owned and controlled by a Native Hawaiian as defined in 25 U.S.C. 4221(9). The term "Native Hawaiian" means any individual who is- (A) a citizen of the United States; and (B) a descendant of the aboriginal people, who, prior to 1778, occupied and exercised sovereignty in the area that currently constitutes the State of Hawaii, as evidenced by- (i) genealogical records; (ii) verification by kupuna (elders) or kama'aina (long-term community residents); or (iii) birth records of the State of Hawaii. |
| NON-PROFIT ORGANIZATION | A nonprofit organization, for purpose of identification, is defined as a business entity organized and operated exclusively for charitable, scientific, or educational purposes, of which no part of the net earnings inure to the benefit of any private shareholder or individual, of which no substantial part of the activities is carrying on propaganda or otherwise attempting to influence legislation or participating in any political campaign on behalf of any candidate for public office, and which are exempt from Federal income taxation under section 501 of the Internal Revenue Code. |
| NON-TRADITIONAL DEFENSE CONTRACTOR (NTDC) | An entity that is not currently performing and has not performed any contract or subcontract for the U.S. Department of Defense (DoD) that is subject to full coverage under the cost accounting standards prescribed pursuant to 41 U.S.C. 1502 and the regulations implementing such section, for at least the 1-year period preceding the solicitation of sources by DoD for the procurement (10 U.S.C. 2302(9)). |
| PRIVATE COLLEGE OR UNIVERSITY (NOT HBCU/MI) | An independent school that sets its own policies and goals and whose funding comes from tuition, investments and private donors, not from taxpayers. A private college or university does not meet the qualifications to be classified as a Historically Black College or University or Minority Institution (HBCU/MI) |
| SBA CERTIFIED 8(a) SMALL BUSINESS CONCERN | A small disadvantaged business concern that has been certified by the U.S. Small Business Administration as eligible to participate in the 8(a) Business Development Program and has not previously participated in the 8(a) program, is at least 51 percent owned and controlled by U.S. citizens who are socially and economically disadvantaged, has a personal net worth of \$750 thousand or less, adjusted gross income of \$350 thousand or less, and assets totaling \$6 million or less, demonstrates good character and the potential for success such as having been in business for two years. 8(a) certification lasts for a maximum of nine years. |
| SERVICE-DISABLED VETERAN-OWNED SMALL BUSINESS (SDVO SB) | A small business that is at least 51 percent owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and the management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a service-disabled veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran. Service-disabled veteran means a veteran, as defined in 38 U.S.C.101(2), with a disability that is service-connected, as defined in 38 U.S.C.101(16). A joint venture qualifies as a service-disabled veteran-owned small business concern if it complies with the requirements in 13 CFR part 125 |
| SIZE STANDARDS | Established by the SBA as set forth in the Code of Federal Regulations, Title 13, Part 121, that define whether a business entity is small and, thus, eligible for Government programs and preferences reserved for "small business" concerns. Size standards have been established for types of economic activity, or industry, generally under the North American Industry Classification System (NAICS). |
| SMALL BUSINESS (SB) CONCERN | A business concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts and subcontracts, and qualified as a small business under the criteria in 13 CFR Part 121 and the size standard for the NAICS Code assigned to the Subcontract/Purchase Order. A joint venture qualifies as a small business concern if each party to the joint venture qualifies as small under the size standard for the solicitation; or the protégé is small under the size standard for the solicitation in a joint venture comprised of a mentor and protégé with an approved mentor-protégé agreement under a SBA mentor-protégé program. |
| SMALL DISADVANTAGED BUSINESS CONCERN (SDB) | Consistent with 13 CFR Part 124, a small business concern under the size standard applicable to the acquisition, that is at least 51 percent unconditionally and directly owned by one or more socially disadvantaged and economically disadvantaged individuals who are citizens of the United States; and each individual claiming economic disadvantage has a net worth not exceeding the threshold at 13 CFR 124.104(c)(2) after taking into account the applicable exclusions; and the management and daily business operations of which are controlled by such individuals who meet the criteria included in the definition. |
| START-UP | A company in the first stages of operations, founded by one or more entrepreneurs, that is focused on a single product or service that the founders want to bring to market. SEC. 834(e)(3) The term "start-up business" means a small business that has been in existence for five (5) years or less. |
| STATE COLLEGE OR UNIVERSITY (NOT HBCU/MI) | A college or other institute of higher education such as a law school or graduate school that is supported by state funds, and does not meet the qualifications to be classified as a Historically Black College or University or Minority Institution (HBCU/MI) |
| SUPPLIER NUMBER | Alpha-numeric identifier issued by Northrop Grumman. Suppliers may have multiple Supplier Numbers that are specific by ERP system. |
| U.S. DOMESTIC CONCERN | A business entity organized for profit (even if its ownership is in the hands of a nonprofit entity) with a place of business located in the United States or its outlying areas and that makes a significant contribution to the U.S. economy through payment of taxes and/or use of American products, material and/or labor, etc. "Concern" includes but is not limited to an individual, partnership, corporation, joint venture, association, or cooperative. For more information, see 13 CFR 121.105. |

SUPPLIER SIZE CERTIFICATION

(02/01/2023) Page 3 of 3

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| U.S. GOVERNMENT AGENCY | A permanent or semi-permanent organization in the machinery of the U.S. Government that is responsible for the oversight and administration of specific functions, such as an intelligence agency. A government agency may be established by either the U.S. national government or a state government within a federal system. The term is not normally used for an organization created by the powers of a local government body. Agencies can be established by legislation or by executive powers. |
| UNIQUE ENTITY IDENTIFIER (UEI) | A number or other identifier used to identify a specific commercial, nonprofit, or Government entity. See www.sam.gov for the designated entity for establishing unique entity identifiers. |
| VETERAN-OWNED SMALL BUSINESS (VOSB) | A small business that is at least 51 percent owned by one or more veterans (as defined at 38 U.S.C.101 (2)), or in the case of any publicly owned business, at least 51 percent of the stock is owned by one or more veterans; and the management and daily business operations of which are controlled by one or more veterans. |
| WOMEN-OWNED SMALL BUSINESS (WOSB) | A small business that is at least 51 percent owned by one or more women, or in the case of any publicly owned business, at least 51 percent of the stock is owned by one or more women; and whose management and daily business operations are controlled by one or more women. |